

TO/T0/90



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 04645.0875 |
| First Inventor | Shah et al. |
| Title | Ruthenium-Containing Ultrasonically ... |
| Express Mail Label No. | EF380361905US |

APPLICATION ELEMENTS*See MPEP chapter 600 concerning utility patent application contents*

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See CFR 1.27.
3. Specification **[Total Pages / 33 /]**
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) *(35 USC 113)* **[Total Sheets / 13 /]**
5. Oath or Declaration **[Total Pages / 3 /]**
 - a. Newly executed (original or copy)
 - b. Copy from a prior application *(37 CFR 1.63(d))*
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
 Washington, D.C. 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document *(if applicable)*
12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other: check for \$1114.00 and \$40.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of the prior application No: 09/280,445

Prior application information: Examiner: M. Cleveland Group/Art Unit: 1762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | |
|---------|---------------------------|-----------|----------------|----------------|
| NAME | Michael F. Scalise | | | |
| | Hodgson Russ LLP | | | |
| ADDRESS | One M&T Plaza, Suite 2000 | | | |
| CITY | Buffalo | STATE | New York | ZIP CODE |
| COUNTRY | United States of America | TELEPHONE | (716) 856-4000 | FAX |
| | | | | (716) 849-0349 |

"Express Mail" Mailing Label Number EF380361905US

Date of Deposit June 1, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty
Name

547038

U.S. PTO
1033
09/18/2001

06/01/01

FEE TRANSMITTAL for FY 2001

Patent Fees are subject to annual revision.

| | | | | |
|--------------------------------|-------------|--|-------------------------------|------------|
| TOTAL AMOUNT OF PAYMENT | (\$1154.00) | | Attorney Docket Number | 04645.0875 |
|--------------------------------|-------------|--|-------------------------------|------------|

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

| Deposit Account Number: Deposit Account Name: | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|--|-----------------------------|-----------------------------------|----------------|-----------------|--|--|
| 08-2442 Hodgson Russ LLP | | | | | | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | 139 | 130 | 139 | 130 | Non-English specification | \$ |
| FEE CALCULATION | 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | \$ |
| 1. BASIC FILING FEE | | | | | | |
| Large Entity Small Entity | 112 | 920* | 112 | 920* | Requesting Publication of SIR prior to Examiner Action | \$ |
| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid | Fee Paid | Requesting Publication of SIR after Examiner Action | \$ |
| 101 710 201 355 Utility filing fee | \$710 | 115 | 110 | 215 | 55 | Extension for reply within first month |
| 106 320 206 160 Design filing fee | \$ | 116 | 390 | 216 | 195 | Extension for reply within second month |
| 107 490 207 245 Plant filing fee | \$ | 117 | 890 | 217 | 445 | Extension for reply within third month |
| 108 710 208 355 Reissue filing fee | \$ | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month |
| 114 150 214 75 Provisional filing fee | \$ | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month |
| SUBTOTAL (1) | \$710 | 119 | 310 | 219 | 155 | Notice of Appeal |
| 2. EXTRA CLAIM FEES | Extra Fee from Claims below | Fee Paid | | | | |
| Total Claims /38 / -20** = / 18/ x /18 / | \$324 | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal |
| Independent Claims /4 / - 3** = / 1 / x /80 / = | \$80 | 121 | 270 | 221 | 135 | Request for oral hearing |
| Multiple dependent / / x / / = | \$ | 122 | 138 | 138 | 1,510 | Petition to institute a public use proceeding |
| Large Entity Small Entity | 141 | 1,240 | 241 | 620 | | |
| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid | Fee Paid | 10 advance copies Utility issue fee (or reissue) | \$ |
| 103 18 203 9 Claims in excess of 20 | 143 | 440 | 243 | 220 | Design issue fee | \$ |
| 102 80 202 40 Independent claims in excess of 3 | 144 | 600 | 244 | 300 | Plant issue fee | \$ |
| 104 270 204 135 Multiple dependent claim if not paid | 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ |
| 109 80 209 40 **Reissue independent claims over original patent | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | \$ |
| SUBTOTAL (2) | \$404 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| SIGNATURE: | <i>Michael Scalise</i> | 146 | 710 | 246 | 355 | Filing a submission after final rejection(37 CFR 1.129(a)) |
| Michael F. Scalise | Reg. No. 34,920 | 149 | 710 | 249 | 355 | For each add'l invention to be examined(37 CFR 1.129(b)) |
| DATE: June 1, 2001 | Telephone: (716) 848-1258 | 179 | 710 | 279 | 355 | Request For Continued Examination (RCE) |
| Express Mail" Mailing Label Number: EF380361905US | | 169 | 900 | 169 | 900 | Request for Expedited Examination of a design appln. |
| Date of Deposit June 1, 2001 | | *Reduced by basic filing fee paid | | | | SUBTOTAL (3) \$40 |

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty

Barbara Haggerty

Name _____

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